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*NAPA VALLEY TRANSPORTATION AUTHORITY(NVTA)*

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**BID**

**FOR**

*REDWOOD PARK AND RIDE CAPITAL IMPROVEMENTS*

**IN**

*NAPA COUNTY*

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Notice to bidders and Special Provisions dated: August 8, 2025

**Contract No.** 25-C17

**Federal Aid Project No.** \_\_\_\_\_

**Bid Opening Date:** September 10, 2025 at 2:00

P.M. (PST/Local)

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**BID PROPOSAL CERTIFICATE**  
(if Corporation)

STATE OF CALIFORNIA        )  
  ) ss:  
COUNTY OF \_\_\_\_\_)

I HEREBY CERTIFY that a meeting of the Board of Directors of the \_\_\_\_\_

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a corporation existing under the laws of the State of \_\_\_\_\_, held on \_\_\_\_\_, 20\_\_\_\_, the following resolution was duly passed and adopted:

“RESOLVED, that \_\_\_\_\_, as \_\_\_\_\_  
President of the Corporation, be and is hereby authorized to execute the Bid Proposal dated \_\_\_\_\_, 20\_\_\_\_, for the \_\_\_\_\_ project, in the County of Napa, and that his/her execution thereof, attested by the Secretary of the Corporation, and with the Corporate Seal affixed, shall be the official act and deed of this Corporation.”

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the corporation this \_\_\_\_\_, day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Secretary

(SEAL)

**BID PROPOSAL CERTIFICATE**  
(if Partnership)

STATE OF CALIFORNIA            )  
  ) ss:  
COUNTY OF \_\_\_\_\_)

I HEREBY CERTIFY that a meeting of the Partners of the \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

a partnership existing under the laws of the State of \_\_\_\_\_, held  
on \_\_\_\_\_, 20\_\_\_\_, the following resolution was duly passed and adopted:

“RESOLVED, that \_\_\_\_\_, as the General  
Partner of the Partnership, be and is hereby authorized to execute the Bid Proposal dated  
\_\_\_\_\_, 20\_\_, for the \_\_\_\_\_ project, in the County of Napa,  
and that his/her execution thereof, attested by the \_\_\_\_\_ shall be the  
official act and deed of this Partnership.”

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_\_, day of  
\_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Partner

(SEAL)



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(DO NOT DETACH)

**IFB No. 25-R29 PARK AND RIDE CAPITAL IMPROVEMENTS**

**CONTRACT NO. - 25-C17**

**NAME OF BIDDER** \_\_\_\_\_

**BUSINESS P.O. BOX** \_\_\_\_\_

**CITY, STATE, ZIP** \_\_\_\_\_

**BUSINESS STREET ADDRESS** \_\_\_\_\_

*(Please include even if P.O. Box used)*

**CITY, STATE, ZIP** \_\_\_\_\_

**TELEPHONE NO:**     **AREA CODE ( )** \_\_\_\_\_

**FAX NO:**           **AREA CODE ( )** \_\_\_\_\_

**CONTRACTOR LICENSE NO.** \_\_\_\_\_ **DIR NO.** \_\_\_\_\_

The work for which this proposal is submitted is for construction in conformance with the special provisions (including the payment of not less than the State general prevailing wage rates or Federal minimum wage rates), the project plans described below, including any addenda thereto, the contract annexed hereto, and also in conformance with Current California Department of Transportation Standard Plans, Standard Specifications, and the Labor Surcharge and Equipment Rental Rates in effect on the date the work is accomplished.

The special provisions and project plans for the work to be done are dated for the same date as advertised and are entitled:

**NAPA VALLEY TRANSPORTATION AUTHORITYTY  
NOTICE TO BIDDERS AND SPECIAL PROVISIONS FOR  
*REDWOOD PARK AND RIDE CAPITAL IMPROVEMENTS***

**IN**

***NAPA COUNTY***

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Bids are to be submitted for the entire work. The amount of the bid for comparison purposes will be the total of all items. The bidder shall set forth for each unit basis item of work a unit price and a total for the item, and for each lump sum item a total for the item, all in clearly legible figures in the respective spaces provided for that purpose. In the case of unit basis items, the amount set forth under the "Item Total" column shall be the product of the unit price bid and the estimated quantity for the item.

In case of discrepancy between the unit price and the total set forth for a unit basis item, the unit price shall prevail, except as provided in (a) or (b), as follows:

- (a) If the amount set forth as a unit price is unreadable or otherwise unclear, or is omitted, or is the same as the amount of the entry in the item total column, then the amount set forth in the item total column for the item shall prevail and shall be divided by the estimated quantity for the item and the price thus obtained shall be the unit price;
- (b) (Decimal Errors) If the product of the entered unit price and the estimated quantity is exactly off by a factor of ten, one hundred, etc., or one-tenth, or one-hundredth, etc. from the entered total, the discrepancy will be resolved by using the entered unit price or item total, whichever most closely approximates percentage-wise the unit price or item total in the *NVTA's* Final Estimate of cost.

If both the unit price and the item total are unreadable or otherwise unclear, or are omitted, the bid may be deemed irregular. Likewise if the item total for a lump sum item is unreadable or otherwise unclear, or is omitted, the bid may be deemed irregular unless the project being bid has only a single item and a clear, readable total bid is provided. Symbols such as commas and dollar signs will be ignored and have no mathematical significance in establishing any unit price or item total or lump sums. Written unit prices, item totals and lump sums will be interpreted according to the number of digits and, if applicable, decimal placement. Cents symbols also have no significance in establishing any unit price or item total since all figures are assumed to be expressed in dollars and/or decimal fractions of a dollar. Bids on lump sum items shall be item totals only; if any unit price for a lump sum item is included in a bid and it differs from the item total, the items total shall prevail.

The foregoing provisions for the resolution of specific irregularities cannot be so comprehensive as to cover every omission, inconsistency, error or other irregularity which may occur in a bid. Any situation not specifically provided for will be determined in the discretion of *NVTA* and that discretion will be exercised in the manner deemed by *NVTA* to best protect the public interest in the prompt and economical completion of the work. The decision of *NVTA* respecting the amount of a bid, or the existence or treatment of an irregularity in a bid, shall be final.

If this proposal shall be accepted and the undersigned shall fail to enter into the contract and furnish the bonds in the sums required by the State Contract Act, with surety satisfactory to *NVTA*, within 5 days not including Saturdays, Sundays and legal holidays, after the bidder has received notice from *NVTA* that the contract has been awarded, *NVTA* may, at its option, determine that the bidder has abandoned the contract, and thereupon this proposal and the acceptance thereof shall be null and void and the forfeiture of the security accompanying this proposal shall operate and the same shall be the property of the *NVTA*.

The undersigned, as bidder, declares that the only persons or parties interested in this proposal as principals are those named herein; that this proposal is made without collusion with any other person, firm, or corporation; that he has carefully examined the location of the proposed work, the annexed proposed form of contract, and the plans therein referred to; and he proposes, and agrees if this proposal is accepted, that he will contract with *NVTA*, in the form of the copy of the contract in Exhibit B of this IFB, to provide all necessary machinery, tools, apparatus and other means of construction, and to do all the work and furnish all the materials specified in the contract, in the manner and time therein prescribed, and according to the requirements of the Engineer as therein set forth, and that he will take in full payment therefore the following prices, to wit:

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## BID ITEM LIST

| No. | Bid Item No. | Description   | Unit of Measure | Estimated Quantity | Unit Price | Amount |
|-----|--------------|---|-----------------|--------------------|------------|--------|
| 1   | 015938       | Prefabricated Restroom (Exeloo Jupiter Semi-Automatic Triple) | LS              | 01                 |            |        |
| 2   | 066595       | Water Pollution Control Maintenance Sharing                   | LS              | 01                 |            |        |
| 3   | 120090       | Construction Area Signs                                       | LS              | 01                 |            |        |
| 4   | 120100       | Traffic Control System  | LS              | 01                 |            |        |
| 5   | 120110       | Flashing Arrow Sign   | EA              | 02                 |            |        |
| 6   | 124000       | Temporary Pedestrian Access Route                             | EA              | 01                 |            |        |
| 7   | 130100       | Job Site Management   | LS              | 01                 |            |        |
| 8   | 130200       | Prepare Water Pollution Control Program                       | LS              | 01                 |            |        |
| 9   | 130620       | Temporary Drainage Inlet Protection                           | EA              | 04                 |            |        |
| 10  | 130730       | Street Sweeping   | LS              | 01                 |            |        |
| 11  | 130900       | Temporary Concrete Washout                                    | LS              | 01                 |            |        |
| 12  | 153121       | Remove Concrete   | CY              | 02                 |            |        |
| 13  | 153250A      | Remove Bike Racks and Cycle Safe Lockers                      | LS              | 01                 |            |        |
| 14  | 170103       | Clearing and Grubbing   | LS              | 01                 |            |        |
| 15  | 193006       | Structure Backfill (Slurry Cement)                            | CY              | 05                 |            |        |
| 16  | 260203       | Class 2 Aggregate Base  | CY              | 01                 |            |        |
| 17  | 390132       | Hot Mix Asphalt HMA (Type A)                                  | TON             | 01                 |            |        |
| 18  | 398001       | Remove Asphalt Concrete Pavement (HMA)                        | SQFT            | 36                 |            |        |
| 19  | 411105       | Individual Slab Replacement (RSC)                             | CY              | 05                 |            |        |
| 20  | 510501       | Minor Concrete  | CY              | 05                 |            |        |
| 21  | 730070       | Detectable Warning Surface                                    | SQFT            | 70                 |            |        |
| 22  | 730071A      | Directional Indicator   | SQFT            | 130                |            |        |

|    |         |  |      |      |  |  |
|----|---------|--|------|------|--|--|
| 23 | 731504  | Minor Concrete (Curb and Gutter)                               | CY   | 02   |  |  |
| 24 | 731521  | Minor Concrete (Sidewalk)                                      | CY   | 03   |  |  |
| 25 | 731626  | Minor Concrete (Curb and Curb Ramp)                            | CY   | 03   |  |  |
| 26 | 731700  | Remove Concrete Curb   | LF   | 33   |  |  |
| 27 | 731780  | Remove Concrete Sidewalk                                       | SQYD | 21   |  |  |
| 28 | 731840  | Remove Concrete (Curb and Gutter)                              | LF   | 18   |  |  |
| 29 | 771000A | 2" Water Service Installation                                  | LS   | 01   |  |  |
| 30 | 772000A | 4" Sewer Lateral Installation                                  | LS   | 01   |  |  |
| 31 | 780433  | Paint Curb (2-Coat)  | LF   | 250  |  |  |
| 32 | 800103  | Temporary Fence (Type CL-6)                                    | LF   | 102  |  |  |
| 33 | 820010A | Monument Sign  | LS   | 01   |  |  |
| 34 | 820360  | Remove Sign Panels   | EA   | 01   |  |  |
| 35 | 820760  | Furnish Single Sheet Aluminum Sign (0.080" – Unframed)         | SQFT | 40   |  |  |
| 36 | 820841A | Roadside Sign – One Post (Metal)                               | EA   | 04   |  |  |
| 37 | 820860  | Install Sign (strap and Saddle Bracket Method)                 | EA   | 03   |  |  |
| 38 | 840505  | 6" Thermoplastic Traffic Stripe                                | LF   | 910  |  |  |
| 39 | 840507  | 6" Thermoplastic Traffic Stripe (Broken 8-4)                   | LF   | 1100 |  |  |
| 40 | 840509A | 6" Thermoplastic Traffic Stripe (Broken 9-3)                   | LF   | 125  |  |  |
| 41 | 840516  | Thermoplastic Pavement Marking (Enhanced Wet Night Visibility) | SQFT | 2000 |  |  |
| 42 | 846030  | Remove Thermoplastic Traffic Stripe                            | LF   | 900  |  |  |
| 43 | 846035  | Remove Thermoplastic Pavement Marking                          | SQFT | 1200 |  |  |
| 44 | 870901A | Real Time Sign Assembly  | LS   | 01   |  |  |

|    |         |  |    |    |  |  |
|----|---------|--|----|----|--|--|
| 45 | 872149A | Redwood Avenue Park and Ride Electrical Work | LS | 01 |  |  |
| 46 | 995100  | Water Meter Charges                          | LS | 01 |  |  |
| 47 | 999990  | Mobilization (5%)                            | LS | 01 |  |  |

**TOTAL:** \_\_\_\_\_

**TOTAL BID:** \_\_\_\_\_

**Total Amount of Bid (written in words) is:**

\_\_\_\_\_ **Dollars and**  
 \_\_\_\_\_ **Cents.**

**Signature of Bid is at located HERE**

## Exhibit 12-B: Bidder's List of Subcontractor (DBE and Non-DBE) - Part 1

As of March 1, 2015 Contractors (and sub-contractors) wishing to bid on public works contracts must be registered with the State Division of Industrial Relations and certified to bid on Public Works contracts. Please register at <https://www.dir.ca.gov/Public-Works/Contractor-Registration.html>. The local agency will verify registration of all contractors and subcontractors on public works projects at bid and thereafter annually to assure that yearly registration is maintained throughout the life of the project.

In accordance with Title 49, Section 26.11 of the Code of Federal Regulations, and Section 4104 of the Public Contract Code of the State of California, as amended, the following information is required for each sub-contractor who will perform work amounting to more than one half of one percent (0.5%) of the Total Base Bid or \$10,000 (whichever is greater).

FEDERAL PROJECT NUMBER:

**Photocopy this form for additional firms.**

| Subcontractor Name & Location | Line Item & Description | Subcontract Amount | Percentage of Bid Item Subcontracted | Contractor License Number | DBE (Y/N) | DBE Cert Number | Annual Gross Receipts |  |
|-------------------------------|-------------------------|--------------------|--------------------------------------|---------------------------|-----------|-----------------|-----------------------|--|
|                               |                         |                    |                                      | DIR Reg Number            |           |                 |                       |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |           |                 | Age of Firm in years  |  |

## Exhibit 12-B: Bidder's List of Subcontractor (DBE and Non-DBE) - Part 2

In accordance with Title 49, Section 26 of the Code of Federal Regulations, the Bidder shall list all subcontractors who provided a quote or bid but **were not selected** to participate as a subcontractor on this project.

FEDERAL PROJECT NUMBER: \_\_\_\_\_

**Photocopy this form for additional firms.**

| Subcontractor Name & Location | Line Item & Description | Subcontract Amount | Percentage of Bid Item Subcontracted | Contractor License Number |  | DBE (Y/N) | DBE Cert Number | Annual Gross Receipts |  |
|-------------------------------|-------------------------|--------------------|--------------------------------------|---------------------------|--|-----------|-----------------|-----------------------|--|
|                               |                         |                    |                                      | DIR Reg Number            |  |           |                 |                       |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |
| NAME                          |                         |                    |                                      |                           |  |           |                 | < \$1 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$5 million         |  |
|                               |                         |                    |                                      |                           |  |           |                 | < \$10 million        |  |
| City, State                   |                         |                    |                                      |                           |  |           |                 | < \$15 million        |  |
|                               |                         |                    |                                      |                           |  |           |                 | Age of Firm in years  |  |



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## EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder \_\_\_\_\_, proposed subcontractor \_\_\_\_\_, hereby certifies that he has \_\_\_\_\_, has not \_\_\_\_\_, participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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## PUBLIC CONTRACT CODE

### PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT

In conformance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has  , has not  been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

Note: The bidder must place a checkmark after "has" or "has not" in one of the blank spaces provided. The above Statement is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

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### PUBLIC CONTRACT CODE SECTION 10162 QUESTIONNAIRE

In conformance with Public Contract Code Section 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes  No

If the answer is yes, explain the circumstances in the following space.

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**PUBLIC CONTRACT CODE 10232 STATEMENT**

In conformance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two-year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Statement and Questionnaire are part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Statement and Questionnaire.  
Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

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**Noncollusion Affidavit**  
(Title 23 United States Code Section 112 and  
Public Contract Code Section 7106)

To **Napa Valley Transportation Authority (NVTA)**

In conformance with Title 23 United States Code Section 112 and Public Contract Code 7106 the bidder declares that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Note: The above Non-collusion Affidavit is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Non-collusion Affidavit. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

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**AFFIDAVIT OF LEVIN ACT DISCLOSURE STATEMENT**

California Government Code § 84308, commonly referred to as the "Levine Act," precludes an Officer of a local government agency from participating in the award of a contract if he or she receives any political contributions totaling more than \$250 in the 12 months preceding the pendency of the contract award, and for three months following the final decision, from the person or company awarded the contract. This prohibition applies to contributions to the Officer, or received by the Officer on behalf of any other Officer, or on behalf of any candidate for office or on behalf of any committee. The Levine Act also requires disclosure of such contributions by a party to be awarded a specified contract. Please refer to the attachment for the complete statutory language.

Current members of the NVTA Board of Directors are:

|               |                |                   |                 |
|---------------|----------------|-------------------|-----------------|
| Scott Sedgley | Amber Manfree  | Paul Dohring      | Mark Joseph     |
| Liz Alessio   | Margie Mohler  | Donald Williams   | Kevin Eisenberg |
| Robin McKee   | Michelle Deasy | Pierre Washington | Bernie Narvaez  |

1. Have you or your company, or any agent on behalf of you or your company, made any political contributions of more than \$250 to any NVTA Director(s) in the 12 months preceding the date of the issuance of this request for proposal or request for qualifications?

\_\_\_ YES \_\_\_ NO

If yes, please identify the Director(s): \_\_\_\_\_

2. Do you or your company, or any agency on behalf of you or your company, anticipate or plan to make any political contributions of more than \$250 to any NVTA Director(s) in the three months following the award of the contract?

\_\_\_ YES \_\_\_ NO

If yes, please identify the Director(s): \_\_\_\_\_

Answering yes to either of the two questions above does not preclude NVTA from awarding a contract to your firm. It does, however, preclude the identified Director(s) from participating in the contract award process for this contract.

\_\_\_\_\_  
DATE

\_\_\_\_\_  
(SIGNATURE OF AUTHORIZED OFFICIAL)

\_\_\_\_\_  
(TYPE OR WRITE APPROPRIATE NAME, TITLE)

\_\_\_\_\_  
(TYPE OR WRITE NAME OF COMPANY)

## California Government Code Section 84308

(a) The definitions set forth in this subdivision shall govern the interpretation of this section.

(1) "Party" means any person who files an application for, or is the subject of, a proceeding involving a license, permit, or other entitlement for use.

(2) "Participant" means any person who is not a party but who actively supports or opposes a particular decision in a proceeding involving a license, permit, or other entitlement for use and who has a financial interest in the decision, as described in Article 1 (commencing with Section 87100) of Chapter 7. A person actively supports or opposes a particular decision in a proceeding if he or she lobbies in person the officers or employees of the agency, testifies in person before the agency, or otherwise acts to influence officers of the agency.

(3) "Agency" means an agency as defined in Section 82003 except that it does not include the courts or any agency in the judicial branch of government, local governmental agencies whose members are directly elected by the voters, the Legislature, the Board of Equalization, or constitutional officers. However, this section applies to any person who is a member of an exempted agency but is acting as a voting member of another agency.

(4) "Officer" means any elected or appointed officer of an agency, any alternate to an elected or appointed officer of an agency, and any candidate for elective office in an agency.

(5) "License, permit, or other entitlement for use" means all business, professional, trade and land use licenses and permits and all other entitlements for use, including all entitlements for land use, all contracts (other than competitively bid, labor, or personal employment contracts), and all franchises.

(6) "Contribution" includes contributions to candidates and committees in federal, state, or local elections.

(b) No officer of an agency shall accept, solicit, or direct a contribution of more than two hundred fifty dollars (\$250) from any party, or his or her agent, or from any participant, or his or her agent, while a proceeding involving a license, permit, or other entitlement for use is pending before the agency and for three months following the date a final decision is rendered in the proceeding if the officer knows or has reason to know that the participant has a financial interest, as that term is used in Article 1 (commencing with Section 87100) of Chapter 7. This prohibition shall apply regardless of whether the officer accepts, solicits, or directs the contribution for himself or herself, or on behalf of any other officer, or on behalf of any candidate for office or on behalf of any committee.

(c) Prior to rendering any decision in a proceeding involving a license, permit or other entitlement for use pending before an agency, each officer of the agency who received a contribution within the preceding 12 months in an amount of more than two hundred fifty dollars (\$250) from a party or from any participant shall disclose that fact on the record of the proceeding. No officer of an agency shall make, participate in making, or in any way attempt to use his or her official position to influence the decision in a proceeding involving a license, permit, or other entitlement for use pending before the agency if the officer has willfully or knowingly received a contribution in an amount of more than two hundred fifty dollars (\$250) within the preceding 12 months from a party or his or her agent, or from any participant, or his or her agent if the officer knows or has reason to know that the participant has a financial interest in the decision, as that term is described with respect to public officials in Article 1 (commencing with Section 87100) of Chapter 7. If an officer receives a contribution which would otherwise require disqualification under this section, returns the contribution within 30 days from the time he or she knows, or should have known, about the contribution and the proceeding involving a license, permit, or other entitlement for use, he or she shall be permitted to participate in the proceeding.

(d) A party to a proceeding before an agency involving a license, permit, or other entitlement for use shall disclose on the record of the proceeding any contribution in an amount of more than two hundred fifty dollars (\$250) made within the preceding 12 months by the party, or his or her agent, to any officer of the agency. No party, or his or her agent, to a proceeding involving a license, permit, or other entitlement for use pending before any agency and no participant, or his or her agent, in the proceeding shall make a contribution of more than two hundred fifty dollars (\$250) to any officer of that agency during the proceeding and for three months following the date a final decision is rendered by the agency in the proceeding. When a closed corporation is a party to, or a participant in, a proceeding involving a license, permit, or other entitlement for use pending before an agency, the majority shareholder is subject to the disclosure and prohibition requirements specified in subdivisions (b), (c), and this subdivision.

(e) Nothing in this section shall be construed to imply that any contribution subject to being reported under this title shall not be so reported.

For more information, contact the Fair Political Practices Commission, 1102 Q St #3000, Sacramento, CA 95811, (916) 322-5660.

End of Disclosure Statement

## Conflict of Interest Declaration

This form is intended to assist bidders in disclosing any potential conflicts of interest when submitting bids for public agency procurements. The purpose of this declaration is to ensure fairness, transparency, and prevent situations where a bidder's personal interests could potentially compromise their ability to fulfill the contract objectively and in the best interest of the public agency.

### Instructions

1. Read Carefully: Review the definitions of potential conflicts of interest below.
2. Disclose: If any of the situations described apply to you or your organization (as defined in the "Bidding Entity" section), check the appropriate box and provide a detailed explanation.
3. Certify: Sign and date the form to confirm the accuracy of the information provided.

### Section 1: Bidding Entity Information

- Legal Name of Bidding Entity: \_\_\_\_\_
- Authorized Representative Name: \_\_\_\_\_
- Title: \_\_\_\_\_
- Contact Information: \_\_\_\_\_

### Section 2: Definition of Potential Conflict of Interest

A potential conflict of interest exists when a bidder's:

- Financial Interests: The bidding entity or any key persons, or close family members thereof, possess a financial interest (e.g., ownership, employment, significant investment) in:

- The public agency considering the bids.
- Another entity also bidding on the same procurement.
- Any vendor, sub-contractor, or supplier that may be involved in the execution of this contract.
- **Personal Relationships:** Close personal relationships exist between the bidding entity's key personnel and public agency employees involved in the procurement, particularly those who may influence the selection process or have access to confidential information.
- **Employment History:** Any individual involved in preparing the bid or who would be involved in the contract if awarded has a history of employment with the public agency involved in this procurement or currently has a close family member employed by the agency who is involved in this procurement process.
- **Consulting/Advisory Roles:** The bidding entity has provided or is currently providing consulting, advisory, or any other services to the public agency involved in this procurement in a capacity that could provide an unfair advantage.

### **Section 3: Potential Conflict of Interest Disclosure**

Choose the statement that best describes your situation:

\_\_\_\_ No Conflict: The bidding entity, its key personnel, and their close family members have no financial interests, personal relationships, or employment history with the public agency or other bidders that could constitute a conflict of interest as defined in Section 2.

\_\_\_\_ Potential Conflict: A potential conflict of interest exists as defined in Section 2.

If "Potential Conflict" is selected, describe the nature of the conflict in detail below:

*[Provide a clear and concise explanation of the potential conflict, including names, relationships, entities involved, and any specific circumstances.]*

**Section 4: Mitigation Measures (if applicable)**

If a potential conflict of interest is declared, outline the steps your organization proposes to take to prevent or mitigate the conflict and ensure impartiality:

*[Describe the specific actions, procedures, or ethical safeguards your organization will implement to address the disclosed conflict.]*

**Section 5: Certification**

By signing below, the information provided in this Conflict of Interest Declaration is confirmed to be true, accurate, and complete to the best of the authorized representative's knowledge and belief. False statements may result in disqualification from the bidding process and other potential legal consequences.

Printed Name of Authorized Representative: \_\_\_\_\_

Signature of Authorized Representative: \_\_\_\_\_

Date: \_\_\_\_\_

**QUESTIONNAIRE AND FINANCIAL ASSURANCE STATEMENT**

The following statements as to experience and financial qualifications of the Bidder are submitted in conjunction with the proposal as a part thereof, and the truthfulness and accuracy of the information is guaranteed by the Bidder.

The Bidder has been engaged in the contracting business under the present business for \_\_\_\_\_ years. Experience in work of a nature similar to that covered in the proposal extends over a period of \_\_\_\_\_ years.

The Bidder, as a contractor, has never failed to satisfactorily complete a contract awarded to contractor, except as follows:

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List all claims and lawsuits presented or filed in the last five (5) years, regardless of the form, regarding any public works project:

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The following contracts for work have been completed in the last three (5) years for the persons, firm or authority indicated and to whom reference is made:

| Year  | Type of Work-Size, Length and Contract Amount | Location and For Whom Performed |
|-------|---|---------------------------------|
| <hr/> | <hr/>   | <hr/>                           |
| <hr/> | <hr/>   | <hr/>                           |
| <hr/> | <hr/>   | <hr/>                           |
| <hr/> | <hr/>   | <hr/>                           |

The following complaints have been made against the Bidder's contractor's license within the past ten (10) years:

Date: \_\_\_\_\_ Nature of Complaint: \_\_\_\_\_

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Reference is hereby made to the following bank or banks as to the financial responsibility of the bidder:

NAME OF BANK

ADDRESS

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Reference is hereby made to the following surety companies as to the financial responsibility and general reliability of the bidder:

NAME OF SURETY COMPANY:

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I, the undersigned, declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

\_\_\_\_\_  
SIGNATURE OF BIDDER

\_\_\_\_\_  
DATE

\_\_\_\_\_  
NAME OF BIDDER

END OF QUESTIONNAIRE AND FINANCIAL STATEMENT FORM

## BIDDING CAPACITY

**Bidder's Name:** \_\_\_\_\_

**Key Personnel:**

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**Current List of Projects:**

**(List only those projects the key personnel listed on this bid are currently working on)**

| PROJECT NAME | KEY PERSONNEL | SIZE | CONTRACT PRICE | SCHEDULED COMPLETION DATE | LOCATION | OWNER |
|--------------|---------------|------|----------------|---------------------------|----------|-------|
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |
|              |               |      |                |                           |          |       |

**Attach proof of Bidder's Current Bonding Capacity**

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## DEBARMENT AND SUSPENSION CERTIFICATION

### TITLE 2, CODE OF FEDERAL REGULATIONS, PART 29

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Proposal. Signing this Proposal on the signature portion thereof also constitutes signature of this Certification.

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## **NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS**

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

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**DISCLOSURE OF LOBBYING ACTIVITIES**

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

**1. Type of Federal Action:**

- a. contract
- b. grant
- c. cooperative agreement
- d. loan
- e. loan guarantee
- f. loan insurance

**2. Status of Federal Action:**

- a. bid/offer/application
- b. initial award
- c. post-award

**3. Report Type:**

- a. initial
- b. material change

**For Material Change Only:**

year \_\_\_\_\_ quarter \_\_\_\_\_  
date of last report \_\_\_\_\_

**4. Name and Address of Reporting Entity**

- Prime
- Subawardee  
Tier \_\_\_\_\_, if known

**5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:**

Congressional District, if known

Congressional District, if known

**6. Federal Department/Agency:**

**7. Federal Program Name/Description:**

CFDA Number, if applicable \_\_\_\_\_

**8. Federal Action Number, if known:**

**9. Award Amount, if known:**

**10. a. Name and Address of Lobby Entity**  
(If individual, last name, first name, MI)

**b. Individuals Performing Services** (including address if different from No. 10a)  
(last name, first name, MI)

(attach Continuation Sheet(s) if necessary)

**11. Amount of Payment (check all that apply)**

\$ \_\_\_\_\_  actual  planned

**13. Type of Payment (check all that apply)**

- a. retainer
- b. one-time fee
- c. commission
- d. contingent fee
- e. deferred
- f. other, specify \_\_\_\_\_

**12. Form of Payment (check all that apply):**

- a. cash
- b. in-kind; specify: nature \_\_\_\_\_  
value \_\_\_\_\_

**14. Brief Description of Services Performed or to be performed and Date(s) of Service, including officer(s), employee(s), or member(s) contacted, for Payment Indicated in Item 11:**

(attach Continuation Sheet(s) if necessary)

**15. Continuation Sheet(s) attached: Yes  No**

**16.** Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the tier above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Date: \_\_\_\_\_

**Federal Use Only:**

Authorized for Local Reproduction  
Standard Form - LLL

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## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of covered Federal action or a material change to previous filing pursuant to title 31 U.S.C. section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered Federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant. or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influenced the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box. Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with Federal officials. Identify the Federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
15. Check whether or not a continuation sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

## Buy America Certification Form

**PURPOSE:** The “Buy America Certification Form” is used to certify that, as required by federal law, all of the iron, steel (for FY23 funded projects and forward) , specific construction materials – non-ferrous metals, lumber, composite building materials, plastic and polymer based pipe and tube, (for FY24 funded projects and forward), all construction materials, including manufactured products (for FY25 funded projects and forward) utilized in federally funded projects with an aggregate of \$250,000 or more funds, including HUD CDBG funds, are produced in the United States in a manner that complies with the Build America, Buy America Act, unless an applicable waiver applies or is granted by the Made in America Office of Management and Budget (MIAO).

**GENERAL INFORMATION:** BABA guidance requires the following Buy America preference: 1) All iron and steel used in the project are produced in the United States. This means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States; 2) All listed manufactured products used in the project are produced in the United States. This means the manufactured product was manufactured in the United States, and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation; and, for FY 2025 funded projects forward; 3) All (listed and non-listed) construction materials are manufactured in the United States. This means that all manufacturing processes for the construction material occurred in the United States. The Build America, Buy America preference only applies to articles, materials, and supplies that are consumed in, incorporated into, or affixed to an infrastructure project. As such, it does not apply to tools, equipment, and supplies, such as temporary scaffolding, brought to the construction site and removed at or before the completion of the infrastructure project. Nor does a Buy America preference apply to equipment and furnishings, such as movable chairs, desks, and portable computer equipment, that are used at or within the finished infrastructure project but are not an integral part of the structure or permanently affixed to the infrastructure project.

**CERTIFICATION:** I hereby certify the materials to be installed pursuant to the attached Bid Submittal conform to the Build America, Buy America Act (BABAA) requirements under Title IX of the Infrastructure Investment and Jobs Act (“IIJA”), Pub. L. 177-58. Signing this document does not relieve the signee of providing evidence of compliance upon request by HCD or HUD.

Prime Contractor: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Email: \_\_\_\_\_

*The Prime Contractor is responsible for all subcontractors and for ensuring that all manufacturers and suppliers certify materials with the understanding that those certifying the material assume full legal responsibility of the material and are subject to providing documentation verifying the material meets all requirements upon demand. Any additional materials needed for the project should be itemized, specify if BABA applies, and then certified by the engineer and submittal to DOH with an official Change Order.*

**\* Attach the itemized cost estimate that identifies all materials subject to BABA.**



| <b>Covered Product</b>   | <b>Obligation Date</b>   |
|--|--|
| <b>Iron and Steel</b>  | The BAP applies to the purchase of iron and steel for infrastructure projects beginning with funding obligations from FY2023 CDBG funds.   |
| <b>Specifically Listed Construction Materials</b><br>(non-ferrous metals; lumber; and plastic-and polymer-based composite building materials, pipe, and tube)  | The BAP will apply to the purchase of specifically listed construction materials beginning with funding obligations from FY2024 CDBG funds, as well as iron and steel.   |
| <b>Not Listed Construction Materials</b> (all other plastic – and polymer-based products, glass, fiber optic cable, optical fiber, engineered wood, and drywall)<br><br><b>Manufactured Products</b> | The BAP will apply to the purchase of not listed construction materials and manufactured products beginning with funding obligations from FY2025 CDBG funds, as well as specifically listed construction materials, iron, and steel. |

## Federal Tax Liability and Recent Felony Convictions Certification

The Offeror represents that–

(1) It is  is not  a corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and

(2) It is  is not  a corporation that was convicted of a felony criminal violation under a Federal law within the preceding 24 months.

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Signature of Person Authorized on Behalf of Bidder

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Name and Title

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Date

## Exhibit 15-G: Construction Contract DBE Commitment

1. Local Agency: \_\_\_\_\_ 2. Contract DBE Goal: \_\_\_\_\_
3. Project Description: \_\_\_\_\_
4. Project Location: \_\_\_\_\_
5. Bidder's Name: \_\_\_\_\_ 6. Prime Certified DBE:  7. Bid Amount: \_\_\_\_\_
8. Total Dollar Amount for **ALL** Subcontractors: \_\_\_\_\_ 9. Total Number of **ALL** Subcontractors: \_\_\_\_\_

| 10. Bid Item Number | 11. Description of Work, Service, or Materials Supplied | 12. NAICS or Work Category Codes | 13. DBE Certification Number | 14. DBE Contact Information (Must be certified on the date bids are opened) | 15. DBE Dollar Amount |
|---------------------|---|----------------------------------|------------------------------|---|-----------------------|
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |
|                     |   |                                  |                              |   |                       |

|  |                 |  |  |                                |                 |
|--|-----------------|--|--|--------------------------------|-----------------|
| <b>Local Agency to Complete this Section upon Execution of Award</b> |                 | <b>16. TOTAL CLAIMED DBE PARTICIPATION</b>   |  | \$                             |                 |
| 22. Local Agency Contract Number: _____                              |                 | IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable with the names and items of the work in the "Subcontractor List" submitted with your bid. Written confirmation of each listed DBE is required. |  | %                              |                 |
| 23. Federal-Aid Project Number: _____                                |                 |  |  |                                |                 |
| 24. Bid Opening Date: _____  |                 |  |  |                                |                 |
| 25. Contract Award Date: _____                                       |                 |  |  |                                |                 |
| 26. Award Amount: _____  |                 | Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.  |  |                                |                 |
| 27. Local Agency Representative's Signature _____                    | 28. Date _____  |  |  | 17. Preparer's Signature _____ | 18. Date _____  |
| 29. Local Agency Representative's Name _____                         | 30. Phone _____ |  |  | 19. Preparer's Name _____      | 20. Phone _____ |
| 31. Local Agency Representative's Title _____                        |                 |  |  | 21. Preparer's Title _____     |                 |
|  |                 |  |  |                                |                 |

- DISTRIBUTION:**
1. Original – Local Agency
  2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in de-obligation of federal funds on contract.
  3. Include additional copy with award package.

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## INSTRUCTIONS – CONSTRUCTION CONTRACT DBE COMMITMENT

### CONTRACTOR SECTION

1. **Local Agency** - Enter the name of the local agency that is administering the contract.
2. **Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
3. **Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
4. **Project Location** - Enter the project location(s) as it appears on the project advertisement.
5. **Bidder's Name** - Enter the contractor's firm name.
6. **Prime Certified DBE** - Check box if prime contractor is a certified DBE.
7. **Bid Amount** - Enter the total contract bid dollar amount for the prime contractor.
8. **Total Dollar Amount for ALL Subcontractors** – Enter the total dollar amount for all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
9. **Total number of ALL subcontractors** – Enter the total number of all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
10. **Bid Item Number** - Enter bid item number for work, services, or materials supplied to be provided.
11. **Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime contractor's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
12. **NAICS or Work Category Codes** - Enter [NAICS or Work Category Codes from the California Unified Certification Program database](#).
13. **DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
14. **DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted contractors. Also, enter the prime contractor's name and phone number, if the prime is a DBE.
15. **DBE Dollar Amount** - Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime contractor if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
16. **Total Claimed DBE Participation** - \$: Enter the total dollar amounts entered in the "DBE Dollar Amount" column. %: Enter the total DBE participation claimed ("Total Claimed DBE Participation Dollars" divided by item "Bid Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
17. **Preparer's Signature** - The person completing the DBE commitment form on behalf of the contractor's firm must sign their name.
18. **Date** - Enter the date the DBE commitment form is signed by the contractor's preparer.
19. **Preparer's Name** - Enter the name of the person preparing and signing the contractor's DBE commitment form.
20. **Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
21. **Preparer's Title** - Enter the position/title of the person signing the contractor's DBE commitment form.

### LOCAL AGENCY SECTION

22. **Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
  23. **Federal-Aid Project Number** - Enter the Federal-Aid Project Number(s).
  24. **Bid Opening Date** - Enter the date contract bids were opened.
  25. **Contract Award Date** - Enter the date the contract was executed.
  26. **Award Amount** – Enter the contract award amount as stated in the executed contract.
  27. **Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Contractor Section of this form is complete and accurate.
  28. **Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
  29. **Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the contractor's DBE commitment form.
  30. **Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
  31. **Local Agency Representative Title** - Enter the position/title of the Local Agency Representative certifying the contractor's DBE commitment form.
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C. The items of work made available to DBE firms including those unbundled contract work items into economically feasible units to facilitate DBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation in order to meet or exceed the DBE contract goal.

| Items of Work | Proposer or Bidder Normally Performs Item (Y/N) | Breakdown of Items | Amount (\$) | Percentage Of Contract |
|---------------|---|--------------------|-------------|------------------------|
|---------------|---|--------------------|-------------|------------------------|

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D. The names, addresses and phone numbers of rejected DBE firms, the reasons for the bidder's rejection of the DBEs, the firms selected for that work (please attach copies of quotes from the firms involved), and the price difference for each DBE if the selected firm is not a DBE:

Names, addresses and phone numbers of rejected DBEs and the reasons for the bidder's rejection of the DBEs:

Names, addresses and phone numbers of firms selected for the work above:

E. Efforts (e.g. in advertisements and solicitations) made to assist interested DBEs in obtaining information related to the plans, specifications and requirements for the work which was provided to DBEs:

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F. Efforts (e.g. in advertisements and solicitations) made to assist interested DBEs in obtaining bonding, lines of credit or insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate:

G. The names of agencies, organizations or groups contacted to provide assistance in contacting, recruiting and using DBE firms (please attach copies of requests to agencies and any responses received, i.e., lists, Internet page download, etc.):

| Name of Agency/Organization | Method/Date of Contact | Results |
|-----------------------------|------------------------|---------|
|-----------------------------|------------------------|---------|

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H. Any additional data to support a demonstration of good faith efforts:

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Accompanying this proposal is \_\_\_\_\_

(NOTICE: INSERT THE WORDS "CASH(\$ \_\_\_\_\_)," "CASHIER'S CHECK,"  
"CERTIFIED CHECK," OR "BIDDER'S BOND," AS THE CASE MAY BE.)

in amount equal to at least ten percent of the total of the bid.

The names of all persons interested in the foregoing proposal as principals are as follows:

**IMPORTANT NOTICE:** *If bidder or other interested person is a corporation, state legal name of corporation, also names of the president, secretary, treasurer, and manager thereof; if a co-partnership, state true name of firm, also names of all individual copartners composing firm; if bidder or other interested person is an individual, state first and last names in full.*

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Licensed in conformance with an act providing for the registration of Contractors,

License No. \_\_\_\_\_ Classification(s) \_\_\_\_\_

**ADDENDA**

This Proposal is submitted with respect to the changes to the contract included in addenda number/s

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*(Fill in addenda numbers if addenda have been received and insert, in this Proposal, any Engineer's Estimate sheets that were received as part of the addenda.)*

By my signature on this proposal I certify, under penalty of perjury under the laws of the State of California, that the foregoing questionnaire and statements of Public Contract Code Sections 10162, 10232 and 10285.1 are true and correct and that the bidder has complied with the requirements of Section 8103 of the Fair Employment and Housing Commission Regulations (Chapter 5, Title 2 of the California Administrative Code). By my signature on this proposal I further certify, under penalty of perjury under the laws of the State of California and the United States of America, that the Noncollusion Affidavit required by Title 23 United States Code, Section 112 and Public Contract Code Section 7106; and the Title 49 Code of Federal Regulations, Part 29 Debarment and Suspension Certification are true and correct.

Date: \_\_\_\_\_



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Signature and Title of Bidder

Business Address \_\_\_\_\_

Place of Business \_\_\_\_\_

Place of Residence \_\_\_\_\_

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**Napa Valley Transportation Authority**

**BIDDER'S BOND**

We, \_\_\_\_\_ as Principal, and

as Surety are bound unto the Napa Valley Transportation Authority in the County of Napa, State of California, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitted to the Obligee, for

**IFB NO. 25-R29 REDWOOD PARK AND RIDE CAPITAL IMPROVEMENTS**

*(Copy here the exact description of work, including location as it appears on the proposal)*

\_\_\_\_\_

\_\_\_\_\_ for which bids are to be opened at 2:00 P.M. (PST/Local) on September 10, 2025 at NVTA.  
*(Insert place where bids will be opened) (Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in conformance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
*Principal*  
\_\_\_\_\_  
*Surety*  
By \_\_\_\_\_  
*Attorney-in-fact*

**CERTIFICATE OF ACKNOWLEDGEMENT**

State of California  
City/County of \_\_\_\_\_ SS

On this \_\_\_\_\_ day of \_\_\_\_\_ in the year 20 \_\_\_\_ before me  
\_\_\_\_\_, personally appeared \_\_\_\_\_,  
*Attorney-in-fact*

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this instrument as the attorney-in-fact of \_\_\_\_\_, and acknowledged to me that he (she) subscribed the name of the said company thereto as surety, and his (her) own name as attorney-in-fact.

(SEAL) \_\_\_\_\_  
*Notary Public*